



# **BHUPAL NOBLES' UNIVERSITY, UDAIPUR**

(Established by the Rajasthan Legislative Assembly by an Act No. 23 of 2015 dated October, 05, 2015)

(Promoted by : Vidya Pracharini Sabha, Bhupal Nobles' Sansthan, Udaipur, Rajasthan)

---

## **REGULATIONS FOR DOCTORAL PROGRAMME**

### **1 Short Title, commencement & Applicability**

- 1.1 These Regulations shall be called the Regulations for Doctoral Programme of the University.
- 1.2 These Regulations shall come into force with effect from the date decided by the Board of Management.
- 1.3 The degree of Doctor of Philosophy shall be abbreviated as Ph.D.
- 1.4 These Regulations shall apply to all categories of Research Scholars pursuing Ph.D. programme.
- 1.5 The degree of Ph.D. shall be approved and granted by the Academic Council on the recommendation of the University Research Board hereinafter referred to as URB on original work in the subject/discipline recognized for research by the University.

### **2 Classification of Ph.D. Candidates**

#### **2.1 Full-Time research scholars**

##### **2.1.1 Self financed(Indian / Foreign) Candidates.**

Self-financed Indian Candidates: Candidates having good academic track record shall be admitted through the regular admission procedure.

Self-financed Foreign Candidates : Candidates admitted through Embassy of the respective Governments having due approval from the Ministry of External Affairs and No Objection Certificate from Department of Education, the Ministry of Human Resources Development, Government of India or admitted under an MOU signed by the University .

### **2.1.2 Candidate with fellowship**

This category refers to candidates who have been awarded fellowship such as JRF or SRF fellowship from UGC, CSIR, DST etc. This category also include candidates who have been selected as JRF or SRF in Research Projects awarded to a teachers or a department of the B.N. University.

### **2.1.3 Candidates on Study Leave:**

Candidates under this category will include those from government or educational institutions who are on study leave for a period of not less than three years for pursuing the Ph.D. Programme. This category also include those colleges or university teachers who have been awarded Teacher Research Fellowship to pursue Ph.D. programme.

### **2.1.4 ICCR Awardees (Foreign Students)**

This category refers to Foreign Candidates sponsored by their Governments and awarded scholarship by ICCR (Indian Council for Cultural Relations) Government of India. They should apply for admission through Indian Embassy in their country.

## **2.2 Part-Time Research Scholars**

### **2.2.1 B.N. University Faculty/Staff**

This category refers to the in service University employees who are admitted to the Ph.D. Programme. They are required to work for their Ph.D. Programme along with their normal duties.

### **2.2.2 External Research Scholars**

This category refers to the persons who are working in other organizations

### **2.2.3 Sponsored Part-Time Research Scholars**

An employee of public sector undertaking, a Government Department, a Research & Development Organization, a private industry, an Educational Institution or a Defence Sponsored Officer may be considered for admission as a sponsored Part- Time Research Scholar. Such a sponsored applicant

would be eligible for admission, provided his/her employer treats him/ her on duty with normal salary and allowances and are fully relieved for the period of study.

#### **2.2.4 Eligibility for Part-time Ph.D. programme**

Part time Ph.D. is allowed provided all the conditions mentioned in the extant of the Ph.D. Regulations are met by such part time research scholar.

The applicant proves that his/her official duties permit him/her to devote sufficient time to research and the necessary facilities for pursuing research are available at the applicant's work place

### **3 Eligibility Criteria for Admission to Ph.D. Programme :**

- 3.1 Candidate for admission to the Ph.D. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7- point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory in the country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 3.2 A relaxation of 5% of marks, from 55% to 50% or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC ( non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19<sup>th</sup> September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.
- 3.3 Candidate possessing a Degree considered equivalent to M.Phil Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its

home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

#### **4. Procedure for Admission to Ph.D. Programme and eligibility**

- 4.1 A candidate seeking admission to the Ph.D. Programme shall apply to the Dean, Post-Graduate Studies on a prescribed form which can be downloaded from University website ([www.bnuniversity.ac.in](http://www.bnuniversity.ac.in)) or can be obtained from the office of the Dean, Post-Graduate Studies. The application should reach to the office of The Dean P.G. Studies on or before the last date notified on the website .
- 4.2 The process of admission for Ph.D. shall begin by issue of a notification in the news papers and on the University website by the Dean, Post-Graduate Studies giving subject/discipline-wise number of available seats for Ph.D. Programmes, the date and time of Entrance Test, centre(s) of Entrance Test and necessary details of the Entrance Test.
- 4.3 Admission to the Ph.D Programme will be through entrance test for admission. The syllabus and the nature of the entrance test for Ph.D. Programme shall be in line with the UGC/CSIR NET/SET examination consisting of 50% of research methodology and 50% shall be subjects specific. The entrance test shall be qualifying with qualifying marks as 50%.
- 4.4 The candidates who have qualified UGC NET (including JRF) UGC-CSIR NET (including JRF)/SLET/GATE/GPAT teachers fellowship holder or have passed M.Phil programme shall be exempted from Entrance Test.
- 4.5 Candidates who qualify in the Ph.D. entrance test shall have to face an interview to be organized by Departmental Committee or the concerned department. In this interview candidates are required to discuss their research interest/area through a presentation before the Committee. The interview shall also consider that the candidate possesses the competence for the proposed research work, the research work can be suitably undertaken at the University and the proposed area of research can contribute to new/additional knowledge. Merit list of all the candidates would be drawn for each subject after interview giving due credit to past academic performance and performance in the personal interview.

- 4.6 Candidate successful in entrance examination and interview will be admitted to the Ph.D. Programme in respective Department by depositing required fee and giving a joining report. Only a pre-determined number of students shall be admitted to the Ph.D. programme in a subject on the basis of position in merit list mention in 4.5 The University shall pay due attention to the reservation policy in granting such admissions.
- 4.7 A candidate shall be permitted for admission/registration in a faculty in which he/she has obtained Master's degree. However, in the case of interfaculty research problems, he/she may opt a supervisor from the other faculty recognized by the URB.
- 4.8 Candidate successful in entrance examination and interview will have to apply for registration for Ph.D. Programme on prescribed form which can be obtained from the office of the Dean, PG Studies

## **5. Research Advisory Committee and Its Functions:**

There shall be a Research Advisory Committee for each Ph.D. Scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities.

- 5.1 To review the research proposal and finalize the topic of research.
- 5.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she have to do.
- 5.3 To periodically review and assist in the progress of the research work of the research scholar.

## **6. Eligibility Criteria for Ph.D. Supervisor.**

- 6.1 A regular professor with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the B.N. University with a Ph.D. degree and at least two research publication in refereed journals may be recognized as Research Supervisor.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the University may relax the above condition for

recognition of a person as Research Supervisor with reasons recorded in writing.

- 6.2 Only a full time regular teacher of the B.N. University can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the University or from other related institutions with the approval of the Research Advisory Committee.
- 6.3 A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, cannot guide more than three (3) M.Phil. and Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil. and six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil. and four (4) Ph.D. scholars.

## **7. Allocation of Research Supervisor/ Co-supervisor**

- 7.1 Dean Post Graduate Studies shall allocate Research Supervisor/Co-supervisor to each selected candidate. The allocation of the Research Supervisor for a selected candidate shall be recommended by the respective Departmental Committee in a formal manner depending on the number of seats available with faculty members, the available specialization among the faculty supervisors, and the research interest of the student as indicated during the interview by the student. The allotment/allocation of supervisor shall not be left to the individual student or teacher
- 7.2 For work involving inter- disciplinary approach, the URB may appoint a Co-supervisor on the recommendation of Research Advisory Committee (RAC)
- 7.3 In case the supervisor is away from the University or leave the University, he/she may be permitted to continue to guide students already registered under him/her supervision provided:
  - 7.3.1 A co-supervisor is appointed in consultation with supervisor concerned.
  - 7.3.2 The Student has completed major portion of the research work and is likely to submit the thesis within a year.

- 7.4 A candidate may be permitted to change the supervisor in consultation with the first supervisor. However, the candidate will be allowed to submit the Ph.D. thesis only after completion of six months period of research under the new supervisor. In case of inter-disciplinary subjects where the new supervisor is from a sister department, Ph.D. submission will require minimum one year research work under the new supervisor
- 7.5 No supervisor will be allowed to guide his/her close relations. In case, no other member of the department is an approved supervisor, the matter will be referred to URB.
- 7.6 In case of death of supervisor, the new supervisor will be appointed out of the approved supervisors from the department concerned, on the request of the candidate. In such cases, the seat allotted will be super- numeric.
- 7.7 In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

## **8. Ph.D. Registration**

- 8.1 A University Research Board (URB) consisting of the following will be constituted for each subject as follows:

1. President	Chairman
2. Dean Faculty concerned	Member
3. Head of the Department concerned	Member
4. External subject expert	Member
5. Supervisor	Member
6. Dean, P.G. Studies	Member Secretary

All matters relating to registration and award of Ph.D. will be determined and implemented by the URB.

A candidate pursuing Ph.D. degree shall apply for registration to the Dean, Post-Graduate Studies through the supervisor, Head of the Department and Dean of the concerned Faculty on a prescribed form submitting the following with the application.

- 8.1.1 Mark-sheets and Certificates duly verified from the original by the Head of the Department.
  - 8.1.2 Eight copies of outline of the proposed research work (synopsis) in proper format duly approved by Departmental Committee.
  - 8.1.3 A certificate from the Research Supervisor that the facilities for work are available and the number of research scholar already working with him are as per rules.
  - 8.1.4 A certificate of “No Objection “ from the employer in case of in service candidate, or a certificate by the candidate stating that he/she is not employed anywhere, duly verified by supervisor.
- 8.2 The application of registration complete in all respect will be submitted along with a copy of joining report and the proof of deposit of prescribed registration fee at the time of admission to the Head of the concerned University Department on any working day for onward transmission to Dean, Post-Graduate Studies.
- 8.3 The candidate applying for registration to Ph.D. Programme will have to defend the proposed work before the URB. Improvement, alteration and modification suggested by URB in the synopsis must be incorporated and the revised synopsis be submitted within 15 days to the Dean, P.G. Studies.
- 8.4 If the outline of the proposed research work is approved, the Dean P.G. Studies shall notify the candidate concerned regarding approval of outline of work. The Dean, P.G. Studies will also report the registration of the candidate to the URB.
- 8.5 The date of registration shall be the date on which the candidate joined in the Department for Ph.D. programme provided he/she submit synopsis in the office of Dean, PG Studies within six month from date of joining the



programme failing which date of registration will be date of approval of synopsis by URB.

- 8.6 A candidate may be permitted by the URB on the recommendation of the RAC to modify or limit the scope of his/her thesis at least six months prior to the submission of his/her thesis. In case there is a complete change in the topic of research, the candidate will have to put in a minimum of one year after the change is approved.
- 8.7 No candidate registered for the Ph.D. degree shall be allowed to take up any other University examination/ course except a part-time diploma/ certificate course.

## **9. Course work**

- 9.1 Immediately after admission to the Ph.D. Programme each student shall be required to undertake course work for a period of one semester consisting of following papers
  - 9.1.1 Research Methodology Paper- This paper includes quantitative methods and computer applications
  - 9.1.2 Research field Specific Paper- This paper includes review of literature and any other matter/course suggested as necessary by RAC relating to the proposed research field of the Ph.D. student
- 9.2 The paper on the Research Methodology will be common for Ph.D. students of all the subjects/ disciplines and it will be conducted by Dean, P.G. Studies as per the syllabus approved by URB. The Research field specific paper will be conducted by the concerned Department.
- 9.3 Research Scholar will be required to complete the course work and pass both the papers in an examination securing at least 55% marks. In case a candidate fails in any paper, he/she will be required to reappear in examination whenever it is conducted immediately after first attempt A candidate can be given third attempt only with the permission of President BN University. Registration of a candidate is liable to be canceled who fails to complete the course works.

## **10. Performance Monitoring**

- 10.1 The progress of each research scholar will be regularly monitored by Dean, P.G. Studies. A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Dean Post Graduate Studies with a copy to the research scholar.
- 10.2 The Research Advisory Committee after having considered the progress report of each candidate shall recommend one of the following to Dean PG Studies
  - 10.2.1 Continuation of registration
  - 10.2.2 Continuation of registration with issuance of a caution to the candidate regarding steps necessary to improve performance
  - 10.2.3 Cancellation of registration.
- 10.3 If the performance of the research scholar is so unsatisfactory that there is no scope of improvement than the Research Advisory Committee may recommend cancellation of registration of research scholar giving specific reasons for the same

If the RAC recommends cancellation of registration appropriate action shall be taken by the Dean, P.G. Studies.

## **11. Registration period requirement**

- 11.1 The minimum period of registration including course work after which a candidate can submit his/her thesis shall be three years from the date of registration as a research scholar
- 11.2 A research scholar shall normally be required to submit his/her thesis within maximum period of six years. However, in exceptional cases this limit may be extended for appropriate period by the President, B.N. University on the recommendation of Supervisor, Departmental committee and Dean, P.G. Studies.

11.3 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two year for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

## **12. Residential Period Requirement**

12.1 A research Scholar is required to put in attendance of at least 200 days at the approved institution/department before submitting his/her thesis.

12.2 A certificate from Supervisor in proper format to the effect that research scholar fulfills necessary Residential period requirement be submitted along with the thesis.

## **13. Requirement of Research Publication**

13.1 Before submitting thesis a candidate is required to publish at least one (1) research paper In refereed journal and make two paper presentations in conferences/seminars.

13.2 The Type of Publication –

13.2.1 The type of publication/presentation shall be in the field/sphere of the topic of Ph.D.. Only those research publications in the Journal/Proceedings of the Conferences, would be considered against the requirement mention above where the name of the Research Scholar is written as an author.

13.2.2 The research scholar must mention the affiliation to the Bhupal Nobles' University in the research publication. For example, the specification of the Research Scholar as an author in the Research Publication may be as under.

*(Name of the Research Scholar), Research Scholar, Bhupal Nobles' University, Udaipur (Raj.)*

*(Designation, Name of the Employer of the Research Scholar) (If applicable)*

*Name and Designation of Supervisor (s) and affiliation*

## **14. Other Requirements for Submitting Ph.D. Thesis**

- 14.1 Before submitting the thesis Research Scholar is required to deposit the prescribe fees and clear all the dues.
- 14.2 The Ph.D. Thesis can be return either in English or Hindi (Written in Devnagri Script.) when the subject matter of thesis relates to a modern Indian language, thesis may be written in that language.
- 14.3 Candidates submitting the thesis for the University degree is required to follow the following aspects regarding paper size, text layout etc. , except in special cases in which Departmental Committee on concern department is satisfied that it will not be possible t o comply with the requirements of these rules –
- 14.4 Following certificates shall be submitted along with the thesis :
  - 14.4.1 Certificate of fulfillment of residential requirement by the candidate duly signed by the Research Supervisor (Appendix -)
  - 14.4.2 ‘No Dues Certificate’ from the Head of Department where the research work was done.
  - 14.4.3 A Declaration by the candidate regarding originality of the research work should be given on A4 sheet (to be attached with the thesis) and on a non-judicial stamp paper costing Rs. 100/- attested by a notary as per format given in Appendix.
  - 14.4.4 Research papers published by the candidate relevant to the research work reported in the thesis.

## **15. Pre-Ph.D. Submission Presentation**

- 15.1 A Pre-Ph.D. Submission presentation on the contents of the Thesis before the Departmental Committee and its approval is essential requirement for final submission of the Thesis by research Scholar.
- 15.2 On completion of the research work and complying with the other submission requirements a research scholar shall be required to submit a Draft copy of the thesis to the Supervisor(s). Supervisor(s) shall carefully go though the Draft Thesis and suggest changes if any to the research scholar.

- 15.3 The Research scholar shall re-submit the amended Draft Thesis to the Supervisor (s) within a reasonable time. The Supervisor will forward amended Draft Thesis to the Departmental Committee and arrange for pre-Ph.D. Presentation before DC.
- 15.4 The Departmental Committee after going through the presentation of the Draft Thesis by the research scholar shall take one of the following actions.
- 15.4.1 If DC is fully satisfied with the research work completed by the research scholar and the contents of the Draft Thesis, it will recommend final submission of the thesis in six copies to Dean, P.G. Studies. Through proper channel i.e. the Head of the Department and Dean of the Faculty
- 15.4.2 If Departmental Committee is not satisfied with the thesis submitted by the research scholar it will advise the research scholar to make the specific changes and re-submit the same within reasonable time period.

## **16. Evaluation and Assessment Methods**

- 16.1 The Ph.D. Thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are not in employment of the B.N. University, of whom one of the examiner may be from outside the country.

Two months before the submission of the thesis the Research Supervisor shall submit to Dean P.G. Studies a panel of examiners (minimum six) not below the rank of Associate Professor in a prescribed performa along with an abstract of the thesis (both hard and soft copy) as given by the Ph.D. Scholar.

The Dean P.G. Studies shall recommend it to the President for appointment of two examiners from the panel of examiners submitted by the Research Supervisor in the panel of examiner, at least three examiners should be from institutions located in different states of the country to reflect all India character of the panel of experts.

The examiners shall examine the thesis and shall submit their reports in a prescribed performa independently within two months the receipt of the same by them.

- 16.2 If all the examiners unanimously recommend acceptance of the thesis, viva-voce examination of the candidate shall be arranged. The report of the external examiners will be sent to the Research Supervisor well in the advance of the viva-voce examination.

However, if the evaluation report one of the external examiner is unsatisfactory and dose not recommend viva-voce, then Dean P.G. Studies shall send thesis to another external examiner directed by the President out of the approved panel of the examiner for evaluation. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

- 16.3 The public viva-voce examination of Research Scholar to defend the thesis submitted by him/her based among other things on the critiques given in the evolution report shall be conducted by the Research Supervisor and at least on of the two external examiners appointed by direction of the President. The viva-voce examination shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers

- 16.4 If the performance of the candidate at the viva-voce examination is not satisfactory, he/she may be permitted to reappear for the viva-voce examination within one months on payment of prescribed fee, when an examiner offers some specific comments which need change or modification in the text, it should be done before the second viva-voce is held.

- 16.5 Following the successful completion of evaluation process the reports on the thesis and viva-voce examination shall be placed before the President for approval.

- 16.6 Prior to the actual award of the Ph.D. Degree, Dean Post Graduate Studies shall issue a Provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulation 2016.

## **17. Depository with INFLIBNET :**

- 17.1 Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Research Scholar would be required to submit an electronic copy of the Ph.D. thesis to the University Computer Centre.
- 17.2 University Computer Centre would deposit the electronic copy to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/colleges.

